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**UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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3235-0504

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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Typiding a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exception

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

**Initial Listing Report** 

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

**Open End Management Investment Company** 

3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

4. Name of Underlying Instrument:

Invesco Strategic US Small Company Index

18010299

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Base **Broad-Based** 

6. Ticker Symbol(s) of New Derivative Securities Product: **IUSS** 

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NASDAQ/NGS, NASDAQ CAPITAL MARKET, NASDAQ/NMS, NEW YORK STOCK EXCHANGE, ASX - ALL MARKETS, NYSE MKT LLC, HONG KONG

8. Settlement Methodology of New Derivatives Product:

Regular way trades settle on T + 2 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

William Slattery

Title:

**Vice President** 

Telephone Number:

1-301-978-8088

Manual Signature of Official Responsible for Form:

Date: September 12, 2018

Act

Securities Exchange Act of 1934

Rule

Public Availability:

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